#### INTRODUCTION

# THE SPHOTA THEORY

The theory of the sphota is one of the most important theories dealing with the central problem of semantics, general linguistics and philosophy of language. It is claimed that the origin¹ of this theory goes back to Pāṇini's Aṣṭādhyāyī² which mentions the name of Sphoṭāyana. Nāgeśa mentions in his Sphoṭāvāda³ that the grammarian Sphoṭāyana has first propounded the theory of sphoṭā. However, we do not know for certain who was the first propounder of the sphoṭā doctrine, irrespective of the fact that Pāṇini himself mentions the name of Sphoṭāyana. The specific mention of the name of Sphoṭāyana neither sufficiently indicates that Pāṇini knew anything similar to the sphoṭā theory as developed by Bhartṛhari in his Vāhyapadīya, nor does it point out that this doctrine originally belonged to the sage

- 1. Some scholars believe that the indirect reference to the theory of sphoţa is found in the view of Audumbarāyaṇa quoted by Yāska in his Nirukta 1.1. Audumbarāyaṇa holds that the classification of words into four categories is impossible, because there is no separate existence of words apart from the sound-units that follow each other in quick succession. The school of Audumbarāyaṇa represents the germs of the sphoṭa doctrine which was developed later on by Bhartṛhari. See J. BROUGH, "Audumbarāyaṇa's theory of language", BSOAS, XIV, pt. I.
- 2. VI. i. 123: अवङ् स्फोटायनस्य । See also Padamañjar under Pan VI.i.123: स्फोटायन: स्फोटप्रतिपादनपरो वैयाकरणाचार्य: ।
- Sphoţavāda, p. 12:

वैयाकरणनागेशः स्फोटायनऋषेर्मतम् । परिष्कृत्योक्तवांस्तत्र प्रीयतां जगदीश्वरः ॥

F.—2



Sphoţāyana. At best, the word may mean a remote descendant of Sphoţa.4

When we come to Patañjali<sup>5</sup> we find the first reference to the theory of sphota. The sphota theory was fully developed by Bhartrhari and the later grammarians; but some of the notions underlying this theory are found in Patañjali's Mahābhāṣya. Therefore, I propose here to put together some of the ideas of Patañjali on dhvani, sphota and śabda.

Patanjali's views on the nature of phonemes:

The search for the ultimate differentiating constituents of language can be traced back to Patañjali's Mahābhāṣya. In the Pratyāhārāhniha6 of his Mahābhāṣya, Patañjali discusses the function of phonemes. First of all he raises the question whether the phonemes are meaning-bearing units or not. As a primafacie argument, he puts forth the view that the phonemes are the smallest significant units in the language. Phonemes may be

See also ibid., p. 26: अथवोभयतः स्फोटमात्रं निर्दिश्यते । रश्रुतेर्लक्षु- तिर्भवतीति ।

6. Ibid., p. 30:

वर्णव्यत्यये चार्थान्तरगमनान्मन्यामहेऽर्थवन्तो वर्णा इति । कूपः सूपः यूप इति । .. वर्णानुपलब्धौ चानर्थगतेर्मन्यामहेऽर्थवन्तो वर्णा इति । वृक्षः ऋक्षः । काण्डीरः आण्डीरः । ... संघातार्थवन्त्वाच्च मन्यामहेऽर्थवन्तो वर्णा इति । यषां संघाता अर्थवन्तोऽवयवा अपि तेषामर्थवन्तः । येषां पुनरवयवा अनर्थकाः समुदाया अपि तेषामनर्थकाः । ...

<sup>4.</sup> IV. i. 99: नडादिभ्यः फक ।

<sup>5.</sup> Mbh., Vol. 1, p. 181: एवं तिह् स्फोटः शब्दो ध्विनः शब्दगुणः । ध्विनः स्फोटश्च शब्दानां ध्विनस्तु खलु लक्ष्यते । अल्पो महांश्च केषांचिदुभयं तत्स्वभावतः ।।

regarded as meaningful units, because the minimally distinctive words /ku:pə/ 'well', /su:pə/ 'soup', /yu:pə/ 'sacrificial post' convey different information. Since these words are distinguished from one another by their initial single phonemes only, the difference in meaning should correspond to the different phonemes in the initial position of these words. Accordingly, phonemes are meaningful, because a particular meaning is not apprehended in the absence of a particular phoneme. Thus he logically proves that, since the whole unit is meaningful, its parts (phonemes) must be meaningful. The whole derives its character from the constituents which make up the whole. Patañjali furnishes an instance to support this. If a blind person cannot see, a conclave of hundred blind persons too cannot see; if a person is endowed with sight, a collection of such hundred persons would also be endowed with such a faculty. Therefore, since the whole is meaningful, its parts also must be meaningful.

Patañjali's final answer to this question is that the verbal roots, stems, suffixes and particles consisting of a single phoneme are regarded as meaning-bearing units. But if a word consists of more than one phoneme, meaning is not apprehended from each phoneme separately. Patañjali no doubt admits that the words  $k\bar{u}pa$ ,  $s\bar{u}pa$  and  $y\bar{u}pa$  are distinguished from one another by their initial single phoneme; nevertheless, the meaning is

<sup>7.</sup> Ibid., pp. 31-32: अनर्यकास्तु वर्णाः । कुतः प्रतिवर्णमर्थानुपलब्धेः । न हि प्रतिवर्णमर्था उपलभ्यन्ते । ... वर्णव्यत्ययापायोपजनविकारेष्वर्यंदर्शनान्म-त्यामहेऽनर्थका वर्णा इति । ... संघातान्तराण्येवैतान्येवंजातीयकान्यर्थान्तरेषु वर्तन्ते । कूपः सूपः यूप इति । यदि हि वर्णव्यत्ययकृतमर्थान्तरगमनं स्याद् भूयिष्ठः कूपार्थः सूपे स्यात् ... । इदं खल्विप भवता वर्णानामर्थवत्तां ब्रुवता साधीयोऽनर्थंकत्वं द्योतितम् । यो हि मन्यते यः कूपे कूपार्थः स ककारस्य यः सूपे सूपार्थः स सकारस्य यो यूपे यूपार्थः स यकारस्येत्यूप-शब्दस्तस्यानर्थकः स्यात ।

neither cognized through the distinctive phonemes /k//s/ and /y/ in /ku:pə//su:pə/ and /yu:pə/ respectively, nor is it conveyed by the identical segment /u:pə/.

Patanjali maintains that the significance of phonemes lies in differentiating one word from another; but, according to him, the differentiating unit is not necessarily the significant unit. His view is that the isolated phonemes are not directly connected with meaning. As far as the meaning is concerned, the words are indivisible into phonemes. Therefore, Patañjali thinks that it is necessary to postulate a single entity (samghāta) as the meaningbearing unit. To put it differently, the word as a whole, unconnected with the isolated phonemes, conveys meaning. The whole has its purposive activity which cannot necessarily be correlated to its parts. The whole8 should be looked upon as a unit different from its parts, from the standpoint of function or purpose and should not be necessarily considered as belonging to the collection of parts. Patañjali presents an analogy to prove his theory: The parts of a chariot, when disconnected from a structural whole, are incapable of moving, while a chariot as a whole is capable of doing so.

It is interesting to note here that Patañjali keeps the two levels of the language apart. Accordingly, the function of the language at the sound level is to differentiate one significant unit from another. The function of the language at the word level is to signify meaning. The later grammarians identify the significative level of the language with the sphota concept. They hold the view that the sphota is the single meaning-bearing entity—either word or sentence. Patañjali does not identify

<sup>8.</sup> Ibid., p. 220: इमे पुनर्वर्णा अत्यन्तायैवानर्थका: । यथा तिह रथाङ्गानि विह्तानि प्रत्येकं व्रजिकियां प्रत्यसमर्थानि भवन्ति तत्समुदायश्य रथ: समर्थे एवमेषां वर्णानां समुदाया अर्थवन्तोऽवयवा अन्थेका इति ।।

sphota with the meaning-bearing nature of language. When Patañjali says: 'samghāta is one and indivisible', he means to say that it cannot be divided into phonemes as far as the meaning is to be conveyed. In other words, a word undivided into phonemes conveys meaning. When the later grammarians say, 'the word is indivisible', they mean that it cannot be divided into a sequence of either morphemes or phonemes. In their opinion the theory of the akhaṇḍapadasphoṭa: 'indivisible word-sphoṭa' abolishes the hierarchy of linguistic units and reduces them to a single indivisible unit.

Patañjali's views on the identification and isolation of morphemes:

The problem of isolation of the morphemes is also discussed by Kātyāyana and Patañjali. In his commentary on the rules I. ii.45 and I.iii.1, Patañjali presents the procedure for the identification and isolation of the morphemes. For this purpose he furnishes the two sets of pairs pacati and pathati, vṛkṣaḥ and vṛkṣau.

<sup>9.</sup> Ibid., p. 219: अर्थवता नोपपद्यते वृक्षशब्दस्य। किं कारणम् । केवलेन अवचनात् । न केवलेन वृक्षशब्देनार्थो गम्यते । केन ति । सप्रत्ययकेन ।... सिद्धमेतत् । कथम् । अन्वयाद्वयितरेकाच्च । कोऽसावन्वयो व्यतिरेको वा। इह वृक्ष इत्युक्ते किंचच्छव्दः श्रूयते । वृक्षशब्दोऽकारान्तः सकारच्च प्रत्ययः । अर्थोऽ पि किंचव् गम्यते मूलस्कन्धफलपलाशवानेकत्वं च । वृक्षावित्युक्ते किंचच्छव्दो हीयते किंचवुपजायते किंचवन्वयी । सकारो हीयत बौकार उपजायते वृक्षशब्दोऽकारान्तोऽन्वयी । अर्थोऽपि किंचद्वीयते किंच- दुपजायते किंचवन्वयी । एकत्वं हीयते द्वित्वमुपजायते मूलस्कन्धफलपलाश- वानन्वयी । ते मन्यामहे यः शब्दो हीयते तस्यासावर्थो योऽर्थो हीयते यः शब्द उपजायते तस्यासावर्थो योऽर्थो हियते यः शब्द उपजायते तस्यासावर्थो योऽर्थे उपजायते यः शब्दोऽन्वयी तस्यासावर्थो योऽर्थो हियते यः शब्द उपजायते तस्यासावर्थो योऽर्थे उपजायते यः शब्दोऽन्वयी तस्यासावर्थो योऽर्थो नियते तस्यासावर्थो योऽर्थो हियते यः शब्दो होयते तस्यासावर्थो योऽर्थो हियते यः शब्दो होयते तस्यासावर्थो योऽर्थो हियते यः शब्दो होयते तस्यासावर्थो योऽर्थो तस्यासावर्थो योऽर्थे उपजायते यः शब्दोऽन्वयी तस्यासावर्थो योऽर्थो नियते तस्यासावर्थो योऽर्थो नियते तस्यासावर्थो योऽर्थे उपजायते यः शब्दोऽन्वयी तस्यासावर्थो योऽर्थे ।

	Forms	Meaning
1.	(pacati)	He cooks (softening, agent, singularity).
2.	(paṭhati)	He reads (reading, agent, singularity).
3.	(vṛkṣaḥ)	A tree (an object bearing roots, branches, fruits and leaves; singularity).
4.	(vṛkṣau)	Two trees (an object, bearing roots, branches, fruits and leaves; duality).

In the first pair, the comparison of items 1 and 2 reveals one contrast in expression: /pac-/: /paṭh-/, and one in meaning: 'softening': 'reading'. In the second pair, the comparison of items 3 and 4 reveals one contrast in expression /-ḥ/: /-au/, and one in meaning, 'singularity': 'duality'. The first pair constitutes one common phonetic element-ati, and common meaning 'doer of action' and 'singularity'. The second pair constitutes the common phonetic element vṛkṣa-, with the common meaning 'tree'. Patañjali states the two principles which would determine the isolation and identification of morphemes:

- The common meaning should correspond to the common phonetic element, and
- (ii) The difference in meaning should correspond to the difference in phonetic element.

The first is called anvaya (agreement) and the second is called vyatireka (contrast). For the identification of morphemes, he gives the principle of anvaya (agreement in phonetic shape and meaning). Further, his statements suggest that the process, by which isolation and identification of morphemes can be accomplished, is the comparison of different forms which are constituted by recurring partials and distinct phonetic elements having a common and different meaning respectively.

In the passage of the Mahābhāṣya (Vol. 1, pp. 30-31) which has been already referred to in the earlier section, Patañjali considers phonemes to be the smallest differentiating units, but when they are isolated from the finished word, they have no meaning.

In the passage under discussion he shows that, although the finished word is used for the purposes of communication, it will be possible to assign some meaning to the suffix and stem by the process of contrast and agreement. Thus, while phonemes cannot be correlated directly with the meaning denoted by the finished form, morphemes can be said to have a direct connection with the meaning conveyed by the finished form. This view of Patañjali is analogous to the theory of the varṇasphoṭa developed by later grammarians. Since, according to them, the term sphota necessarily refers to the significant unit, they tried to interpret the term varnasphota to mean the smallest meaningful units like stems, roots and suffixes. Originally the term stands for the smallest differentiating units rather than meaningful ones. To Patañjali the term sphota need not necessarily involve consideration of meaning. He has used the term sphota (probably "heard sound") strictly to point out its relation with dhvani ("spoken sound"). Another noteworthy point in Patañjali's discussion is that he does not abandon the hierarchy of linguistic units and reduce them to single indivisible units. Subsequently, the upholders of the theories of akhandapadasphota: and akhandavākyasphota: 'indivisible word-sphota' sphota' maintain that the listener is not concerned with the hierarchical structure of language at the time of communication. Patanjali has not said anything similar to this. But his argument has been that the significative unit cannot be dissolved into the smallest constituents of language i.e. phonemes. It deserves to be noted in this connection that, while discussing the meaningbearing aspect of grammatical units, Patanjali has never used the term sphota, which has become the subject of hair-splitting discussion in the galaxy of later grammarians.

Patañjali's views on the nature of sabda (word):

The introductory chapter of the Mahābhāṣya deals with the definition of śabda. Patañjali10 furnishes us with two alternative definitions of the term **sabda**. The first definition tells us that the term śabda: 'word' stands for a meaningful segment. According to the second definition, any meaningful or meaningless sound is designated as śabda. Patañjali says, when the word gauh is uttered, the following concepts come before the listener's mind: 'the individual cow having a dewlap, a tail, a hump, hooves and horns, her action, her colour, her form, besides the phonetic shape g-au-h'. Accordingly, it becomes difficult to ascertain what exactly is denoted by the term 'word'. Patanjali finally says: 'that is word which, when uttered, brings us the knowledge of the object possessing a dewlap, a tail, a hump, hooves and horns'. He knows the two-sidedness of word. One side represents 'sound' and the other 'content'. When a word is uttered, its sound is heard and its meaning is apprehended. Therefore, he defines word as a 'meaningful sound'.

Then he proceeds to contrast this sense of śabda with another sense of śabda, which is accepted by a layman. He says that

<sup>10.</sup> Ibid., p. 1: अथ गौरियत्र कः शब्दः । कि यतत्सास्नालाङ्गूलककुद-खुरविषाण्यर्थरूपं स शब्दः । नेयाह द्रव्यं नाम तत् । ... कस्तिहं शब्दः । येनोच्चारितेन सास्नालाङ्गूलककुदखुरविषाणिनां संप्रत्ययो भवति स शब्दः । अथवा प्रतोतपदार्थको लोके ध्वनिः शब्द इत्युच्यते । तद्यथा । शब्दं कुरु । शब्दं मा कार्षीः । शब्दकार्यशं माणवक इति ध्वनि कुर्वन्नेवमुच्यते । तस्माद् ध्वनिः शब्दः ।

dhvani: 'sound' is a popular<sup>11</sup> term, and sound is designated as śabda: 'word.' According to the second definition, any phonetic sequence is considered to be a word which need not necessarily convey any meaning. This definition points out that the name 'word' is given to the articulate sound.

The purpose behind Patañjali's reading the alternative definition lies in the fact that the grammatical rules operate with two kinds of words. Some rules operate with meaningful segments, while the rules of euphonic combination etc. take into account purely the sound elements. Thus, in the modern terminology, the first definition lays emphasis on the morphemic character of a word, whereas the second emphasises the phonetic character of word. According to the commentators<sup>12</sup> of the Mahābhāṣya, the first definition refers to the sphoṭa word, while in the second definition, the dhvani and sphoṭa aspects are regarded as non-different. The commentators try to read in Patañjali's statement the theories developed later on the sphoṭa word. This makes

<sup>11.</sup> The commentators on the Mahābhāṣya interpret the word pratītapadārthaka in the second definition differently. According to them, the sound, which conveys a sense, is called a word. They maintain that both the definitions refer to the meaning-conveyor sound. But in such interpretation, the contrast between the two alternative definitions is not clearly brought out. Moreover, my interpretation is supported by the comparative study of the word pratītapadārthaka which is used thrice by Patañjali in his Mahābhāṣya. For details, see S. D. Joshi, "A definition of a word, an Interpretation", BDCRI, Vol. XXV, pp. 65-70.

<sup>12.</sup> Pradīpa on the Mahābhāṣya (quoted in the footnote number 10): अयवेति । अन्यत्र घ्वनिस्फोटयोभद ग्य व्यवस्थापितत्वादिहाभेदेन व्यवहारेऽपि न दोष:, 'द्रव्यादयो न शब्दशब्दवाच्या' इत्यत्र तात्पर्यात् ।

them think that the first definition refers to the meaningful word i.e. sphota word. Patañjali has never used the term sphota<sup>13</sup> to refer to a single indivisible meaning-bearing unit. The term sphota as used by Patañjali always stands for the structure of expression which may or may not have meaning. The idea that the meaning-bearing word is the sphota is not implicitly or explicitly stated by Patañjali, although such a concept has occurred to later theorists.

Patañjali<sup>14</sup> makes another important statement about the nature of śabda. He says śabda is what is perceived by the auditory organs, grasped through intellect, revealed by the sounds pertaining to the region of the sky. By these three phrases, Patanjali gives an adequate description of the process of communication. Firstly, sound is revealed by the articulatory process; secondly, it is received by the listener's ear and finally the auditory perception of the sound is translated into thought. It is a characteristic feature of Indian thinkers, that language, according to them, is primarily to be looked upon as an auditory system. It is used to communicate our thoughts to listeners, and accordingly, the perception of sounds by the listener's ear plays a very important role in the process of communication. By the term buddhinirgrāhyaḥ: 'grasped by the intellect', Patañjali indicates that word is a mental or psychical entity. The phrase prayogena ubhijvalitah: 'revealed by the sounds' refers to the sphota aspect of word. The term śrotropalabdhin: 'perceived auditorily' stands for the sound which passes from the speaker to the listener. Patanjali points out that śabda, in its sphota aspect, represents the auditory image of sound revealed by the

<sup>13.</sup> K. KUNJUNNI RAJA, Indian Theories of Meaning, p. 103.

<sup>14.</sup> Mbh. Vol. 1, p. 18: श्रोत्रोपलब्धिर्बुद्धिनिर्ग्राह्यः प्रयोगेणाभिज्वलितः आकाशदेशः शब्द एकं च पुनराकाशम ।

articulatory movements. Thus, he has used the term śabda in different contexts to represent different aspects of words. The first definition emphasises the significative aspect of word, the second the articulatory aspect and the third statement speaks of the word as the sound-image auditorily perceived. The term śabda stands as a common name for these three aspects.

# Patañjali's views on the succession of phonemes:

It has been already pointed out that Patanjali postulates a single entity (samghāta) as the meaning-bearing contends that the word as a whole, unconnected with the isolation of phonemes, conveys meaning. That is to say, the whole denotes a meaning without reference to its parts (phonemes). This view appears to run on parallel lines with the view maintained by the later grammarians who assume that the sphota word is an indivisible meaning-bearing unit. They express the view that the meaning apprehended from a word cannot be derived from individual phonemes taken singly, because in that case remaining phonemes would be meaningless. It cannot be derived either from a combination of phonemes because one cannot account for the simultaneity of phonemes which are liable to destruction as soon as they are uttered. The later grammarians say that there cannot be the combination of what is non-existent with what is existent. Thus, the sphotavadin maintains that the sequence of phonemes is an impossibility. Since the unitary perception of word cannot be explained with reference to the sequence of phonemes, they postulate a single indivisible entity sphota as a meaning-conveyer word. However, Patanjali's reason for accepting the whole as a single entity does not stem forth from the unaccountability of the sequence of phonemes.

Patañjali<sup>15</sup> on the rule 1.4.109 argues that the vocal organs do not produce the phonemes simultaneously. The simultaneity of phonemes is impossible on account of their transient character. Accordingly, there cannot be a single moment which may comprise of all the phonemes uttered together. As a solution of this problem, Patanjali says, the unity of words exists only in our mind. In this conceptual word we have the notion of succession of phonemes. The sequence of phonemes is to be understood as a mental one. In other words, although phonemes cannot be uttered together at a single moment, their conceptual simultaneity can exist in the mind of the speaker and the listener. In short, Patanjali does not find any difficulty in maintaining the notion of sequence of phonemes which, as a whole, conveys meaning. The simultaneous cognition of phonemes that exists in the mind explains the unitary notion of word which conveys meaning. But this quality of meaning-bearing nature belongs to the whole, and cannot necessarily be correlated with its parts separately. To put it differently, the conceptual sequence of phonemes can be viewed in its entirety as a single unit which he calls by the term samghāta. Consequently, Patañjali admits the three propositions: (i) samghāta is divisible into phonemes structurally, (ii) the sequence of phonemes can exist in our

<sup>15.</sup> Ibid., p. 356: पौर्वापर्यमकाल्रव्यपेतं संहिता चेत्पूर्वापराभावात्संहितासंज्ञा न प्राप्नोति । न हि वर्णानां पौर्वापर्यमस्ति । कि कारणम् । .. एकैक-वर्णवर्तिनी वाक् । न द्वौ युगपदुच्चारयित । गौरिति यावद् गकारे वाग्वतंते नौकारे न विसर्जनीये । यावदौकारे न गकारे न विसर्जनीये । यावद् विसर्जनीये न गकारे नौकारे । उच्चरितप्रध्वंसित्वात् । उच्चरितप्रध्वंसिनः खल्विप वर्णाः । उच्चरितः प्रध्वस्तः । अथापरः प्रयुज्यते न वर्णो वर्णस्य सहायः । एवं तर्हि बृद्धिविषयमेव शब्दानां पौर्वापर्यम् । इह य एष मनुष्यः प्रक्षापूर्वकारी भवित स पद्यत्यस्मिन्नर्थेऽयं शब्दः प्रयोक्तव्योऽस्मिन्तताः वच्छब्देऽयं तावद्वर्णंस्ततोऽयं ततोऽयम् ।

mind and it forms the samghāta, and (iii) the meaning-bearing nature of samghāta cannot be assigned individually to each phoneme.

This is, however, not admitted by the later grammarians. Unaccountability of the succession of phonemes is the main argument that is used by them to establish the entity of sphota. From Patañjali's point of view, the phonemes as differentiating units are structurally parts of the samghāta, although they are bereft of the significative function of samghāta. The later grammarians assume that the sphota word is revealed through the phonemes, but it has no structural connection either with the isolated phonemes or with a series thereof.

Patañjali's views on sphota and dhvani:

Patañjali, 16 while explaining the rules 1.1.70 and 8. 2. 18, directly refers to the word sphota. Here he describes the relation

16. Ibid., p. 181: सिद्धमेनत्। कथम् अवस्थिता वर्णा द्रुतमध्यमिवलिम्बितासु। किंकृतस्तिहि वृत्तिविशेषः । वक्तुिश्चराचिरवचनाद्वृत्तयो विशिष्यन्ते । क्कता किश्चतस्तिहि वृत्तिविशेषः । वक्तुिश्चराचिरवचनाद्वृत्तयो विशिष्यन्ते । क्किचचिरण किश्चराचि । विशिष्य । किश्चिच्चरेण किश्चराचे । तद्यथा । तमेवाध्वानं किश्चदाशु गच्छति किश्चत् चिरण गच्छिति किश्चिच्चरतरेण गच्छिति । रिथक आशु गच्छिति आश्विकिश्चरेण पदानिश्चरतरेण । विश्वम उपन्यासः । अधिकरणमत्राध्वा व्रजतिक्रियायाः । तत्रायुक्तमिधकरणस्य वृद्धिहासौ स्याताम् । एवं तिह् स्फोटः शब्दो ध्विनः शब्दगुणः । कथम् । भेर्याघातवत् । तद्यथा भेर्याघातः । भेरीमाहत्य किश्चिद्दिशित पदानि गच्छिति किश्चत् विश्वत् किश्चत् चत्वारिशतः । स्फोटश्च तावानेव भवित ध्विनकृता वृद्धिः ।

ध्वनिः स्फोटश्च शब्दानां ध्वनिस्तु खलु लक्ष्यते । अल्पो महाश्च केषांचिदुभयं तत्स्वभावतः ॥

The meaning of the passage is not clear. According to Kaiyata the passage means: 'when a drum is struck, (while (No. 16 Foot Note Contd. on page 14)



(No. 16 Foot Note Contd. from page 13)

hearing the sound of it) one travels twenty feet, another thirty feet, and still another forty feet'. Nagesa interprets Kaiyata to mean, 'when a drum is struck, (while hearing the reverberation of it) one (drum-beater) travels twenty feet, another thirty feet, and still another forty feet'. Accordingly, Nageśa interprets the passage to mean that the sound produced by the drum and stick remains of the same duration but increase and decrease in the steps is caused by the reverberation of sound, because it differs from drum to drum. To put it differently, although the initial sound is the same in all cases, still reverberation causes difference in it. That is to say, reverberation of sound lasts for a shorter or a longer period in the case of different drums and it causes the repeated perception of the original sound. The term sphota stands for the initial sound (prākrta-dhvani) of the drum while the term dhvani stands for the reverberation of initial sound. This reverberation is called dhvani, and it is responsible for the increase or decrease in lengths. See Prof. IYER's Article, "Bhartrhari on dhvani", ABORI, Vol. XLVI, p. 50. Also Prof. BROUGH, "Theories of general linguistics", TPS, 1951, p. 35. Brough understands the passage to mean 'when a drum is struck, one drumbeat travels twenty feet, another thirty feet, (still) another forty feet'. The sphota (beating) remains the same, but increase in steps is caused by the sound (produced by beatings). If we follow Nagesa's interpretation, 'increase and decrease in steps' might have its cause in fast or slow walking of different persons. Accordingly, Patanjali's statement dhvanilerta vrddhih: 'increase is caused by sound (i.e. dhvani = reverberation)' would not solely be justified, because even if the duration of reverberation remains the same, difference in steps can be justified by slow or fast walking of different persons. Context permits only Brough's interpretation, although it cannot be strictly supported by grammar. Grammatically the subject of ahatya: 'having beaten the drum' and that of gacchati must be the same.

between the two aspects of word: sphota and dhvani. According to him, the sphota aspect of word, which is suddenly revealed to the listener's ear, is quite different from dhvani which refers to the distinctive or non-distinctive sound-elements uttered by the different speakers in varied tones, pitches etc. From Patañjali's statements, it seems to me that the term sphota refers to the constant element in the auditory image of the varied articulated sounds, whereas the term dhvani refers to the physical culated sound which is associated with length, tempos various peculiarities of the individual speaker. The speech-properties belonging to the individual speakers are regarded as non-distinctive from the point of view of the perception of the sphota. Patanjali says that phonemes are fixed (avasthitāḥ varṇāḥ) and tempos or intonation depend on the speech-habits of the speaker. The distinction between the sphota aspect and dhvani aspect of a word is analogous to the distinction between the phonematic and the phonetic patterns of word. Patanjali, while explaining the rule 1.1.70, says that the nature phonemes is not affected by a rapid, medium or a slow mode of pronunciation. Here he brings in, in order to explain these aspects, the analogy of the drum-beat. When strikes his drum, the dhvani (i.e. the drum-beat) may last long, so as to travel twenty, thirty or even forty steps, but sphota remains precisely the same; the increase and decrease in the steps is caused by the difference in the duration of dhvani.

In another context, Patanjali<sup>17</sup> has used the term sphota to denote the phonetic species of the phonetically different sounds. Accordingly, he interprets the rule krpo ro lah (VIII.ii.18) to mean that the ra-sphota (i.e., phonetic species of r-sounds) is replaced by the la-sphota (i.e. phonetic species of l-sounds).

<sup>17.</sup> Ibid., p. 26: अथवोभयत: स्फोटमात्रं निर्दिश्यते । रश्रतेलंश्रतिर्भवतीति ।

Thus, we substitute *l*-sound for *r*-sound (*karpate*=*kalpate*), and the vocalic *l* for the vocalic *r*, because in the rule the phoneme *r* refers only to phonetic species of *r*-sounds. Here it should be noted that, according to Patañjali, the two sounds, consonantal *r* and the *r*-element in the vocalic *r*, which may not be phonemically distinguishable, belong to the single *sphota*. In the example quoted earlier, also, the difference in duration caused by the rapid, medium and slow pronunciation of the speakers is non-phonemic.

Yet, which of these phonetic variations of particular sounds are regarded as non-discriminating in the sphota (phonemic) perception of sounds, is not clearly brought out by Patañjali. He does not furnish the necessary conditions under which two sounds are regarded as distinctive and under which they are not. For the non-distinctiveness of phonetically different sounds, he states one condition: 'If a sound is uttered in rapid, medium and slow modes of pronunciation, its sphota perception remains the same'.

Briefly stated, Patañjali's opinion is that the term sphota is somewhat analogous either to the phonematic pattern of sounds or the phonetic species of sounds. Kaiyaṭa,18 the famous commentator on the Mahābhāṣya, in fact, agrees with the latter view. Patañjali's notion of sphoṭa cannot be reduced precisely to modern linguistic terminology. Nor could we simplify his procedure.

It emerges from this, that Patañjali in all probability might have approached the problem of phonemics by a different method which is presented in different conditions. It can only

<sup>18.</sup> Pradīpa on Mbh. under Sivasūtras 3-4: जातिस्फोट इत्यर्थ:।
तत्तरचान्तर्भृतानन्तर्भृतरेफलकारव्यङ्ग्य सामान्यं स्थान्यादेशभावेनाश्रीयते।

be said that, according to Patañjali, the term *dhvani* refers to the articulate sound which differs in phonetic value with reference to the variation in the utterance of different speakers. The term *sphoṭa*, on the other hand, stands for a type sound whose limits of non-distinctiveness are not precisely defined.

While commenting on the rule 1.1.1. Kātyāyana says: 'if the sound t is not added to the vowel  $\bar{a}$ ,  $\bar{a}$  will not represent all its varieties with different accents and qualities etc., because the qualities render the phonemes distinctive'. Patañjali exemplifies this by saying that in the Vedic recitation, if a pupil utters a vowel with different accents, the instructor slaps him on his face for the mispronunciation. This shows that accents are distinctive.

But in the descriptive system of Pāṇinian grammar, the accent, nasalization etc. do not render the vowel distinctive as far as the application of this system is concerned. The procedure, followed by the descriptive system of grammar, purely takes into account the phonological behaviour of phonemes for the application of grammatical rules. All the different phonemic entities  $\dot{a}$ ,  $\ddot{a}$ ,  $\ddot{a}$ ,  $\ddot{a}$ ,  $\ddot{a}$ ,  $\ddot{a}$ ,  $\ddot{a}$  fall into a single class  $\ddot{a}t$ , where the t indicates the non-distinctiveness of the members in the class. The descriptive procedure of Pāṇini does not examine the types of contrasts which exist in the language, but examines whether the distinctive feature is significant for grammatical analysis or not.

With regard to the phonemic system of language the grammarians admitted the phonetic difference in the varieties of vowels and consonants. But for the grammatical description of language all of them are not necessarily relevant. Thus, the task of specifying the distinctive and non-distinctive features of phonemes is intended as a mere technical aid for describing a language. With this in my mind, I made the statement that Patañjali has approached the problems of phonemics by a different method. The term sphota is not used by Patañjali primarily with reference to the non-distinctiveness of phonemes that exists in the language system, but it is restricted to the same class of varied spoken sounds, whose distinctiveness may not be grasped by the listener. This is suggested by his phrase ra-śruter la-śrutir bhavati. In other words, according to Patañjali, the distinction between the consonantal r and the r-element in the vocalic r is not perceived auditorily and it is not relevant for grammatical analysis.

Thus the following points emerge out of the Bhasya discussion:

- i) Patanjali's conception of the indivisibility of wholes is quite different from the later-developed notion of the indivisibility of the sphota word. He assumes that a samphāta is endowed with proper meaning, and it can be dissolved into smaller semantic units in the form of stem and suffixes. These semantic units can be further divided into ultimate differentiating constituents i.e. phonemes, which are the ultimate constituents of language. The phonemes are not necessarily endowed with a proper meaning. While establishing the meaningfulness of samphāta and non-meaning-bearing nature of phonemes, he has not relied for support on the sphota theory.
- ii) Patañjali distinguishes between the three different approaches from which speech-utterance may be studied: (1) arthasampratyāyakaḥ śabdaḥ: 'meaningful word', (2) dhvani: 'articulate sound' and (3) sphoṭaśabdaḥ: 'an auditory impression of sounds grasped through intellect'. The first is essentially the same as the morpheme or morphemic-sequence. The second is the articulate sound which gives rise to the impression of the sphoṭa in the listener's mind. The abstract sound-image which



disregards the furtive elements in the phonetic features of sounds is called sphota.

- iii) Patanjali is consistent in maintaining the terminological distinction between the dhvani and the sphoṭa aspects of words. According to him, the phoneme (varṇa)<sup>19</sup> is the sphoṭa which remains the same in all the different modes of utterances. The term dhvani<sup>20</sup> is used to denote speech-sound, which is associated with all distinctions in the modes of utterances and individual peculiarities. It manifests the sphoṭa. The difference in the speeds of utterance does not affect the sphoṭa, but it is felt to be associated with it, due to the difference in the sounds which manifest the sphoṭa.
- iv) The word śabda<sup>21</sup>, as used by Patañjali, may stand either for dhvani or sphoṭa on the one hand, or for the meaning-bearing word (samghāta) on the other. While his term śabda is common to all these three, the terms dhvani, sphoṭa and the meaningful samghāta are not interchangeable. His term sphoṭa stands in much more intimate relation with the term dhvani than with the arthasampratyāyakaśabda. There seems to be no natural inherent



<sup>19.</sup> I have used the term phoneme for the varna or sphota in its loose sense. Exactly there is no parallel term in western linguistics for the sphota or varna notions of the old Vaiyākaranas.

<sup>20.</sup> Nāgeša has interpreted the Bhāṣya - passage somewhat differently. To him sphoṭa is the original sound and dhvani is a kind of reverberation of the original sound. The original sound (sphoṭa) is perceived in shorter or longer time owing to the differences in its reverberations. This explanation is based on the prākṛṭadhvani and vaikṛṭadhvani concepts developed by Bharṭṛhari and his commentators. This distinction is nowhere mentioned by Patañjali in his Mahābhāṣya. See Prof. IYER's article, op. cit., pp. 50-51.

<sup>21.</sup> See footnotes 5-10.

relation between the sphota and the meaningful samghāta. The significant unit, when revealed to the listener's ear, may be referred to by the term sphota not because it is significant, but because it is auditorily perceived, and it is revealed by the spoken sounds.

We are not sure to which degree Patañjali's conception of sphoța or varna is similar to the modern conception of phoneme or the phonemic pattern. He states that the r-element in the vocalic r and consonantal r are not distinguished in the sphoța aspect of word. He also states that the slow and fast pronunciations do not render the phoneme distinctive. All these are stray hints. They do not sufficiently indicate under what conditions two phonetically distinctive sounds are united under the same varna or sphoța. From his statements it is only implied that Patañjali's conception of sphoța or varna is somewhat similar to the conception of modern phoneme or phonemic pattern of word. But Patañjali never shows implicitly or explicitly any relation between the sphoța and the single indivisible meaning-bearing word, as it is maintained by the later grammarians.

Bhartrhari's views on dhvani and sphota:

When we come to Bhartrhari, we find that he presents the various views on the nature of *dhvani* and *sphota* in the words *kecit* and *apare* etc. He holds the view that the *sphota*<sup>22</sup> is manifested by the sound which is produced by the conjunction of the vocal organs with the points of articulation. In one of the

# 22. VP. 1.97:

ग्रहणग्राह्ययोः सिद्धा योग्यता नियता यथा।
•यञ्जघव्यञ्जकभावेन तथैव स्फोटनादयो: ।।

kārikās<sup>28</sup> he says that sphota is devoid of temporal distinctions. The sphota is not short,23 long or prolated. This distinction belongs in reality to the manifesting sounds, but it is falsely attributed to the manifested sphota with which sounds are perceived as identical. Arguing on the same line, he states that the sphota does not involve the differences in the speed of utterance (vrttibheda). The sound spoken by the speaker and heard by the listener, is associated with the various differences in intonation, tempos, pitch etc., but the manifested sound is devoid of all these qualities.

While commenting on the verses<sup>23</sup> 75-77 of the first Kanda of the Vakyapadīya, Harivrsabha24 says that the sound is of two primary (prākṛtadhvani) and secondary dhvani) 25. That sound is primary which causes the perception of the sphota and without which the form of the sphota remains

#### 23. Ibid., 1.75-77:

स्फोटस्याभिन्नकालस्य ध्वनिकालानुपातिनः । ग्रहणोपाधिभेदेन वृत्तिभेदं प्रचक्षते ॥ स्वभावभेदान्नित्यत्वे ह्नस्वदीर्घंष्लुतादिष् । प्राकृतस्य ध्वनेः कालः शब्दस्येत्यपचयंते ॥ शब्दस्योध्वं मभिव्यक्तेर्वृत्तिभेदं तु वैकृताः । घ्वनयः समुपोहन्ते स्फोटात्मा तैनं भिद्यते ।।

- 24. Harivṛṣabha's Vṛtti on VP. 1.76 (quoted by IYER, op. cit., p. 52): ध्वनिस्फोटयो: पृथक्त्वेनानुपलम्भात्तं स्फोटं तस्य ध्वने: प्रकृतिमिक मन्यन्ते । तत्र भवः प्राकृतः तदुत्तरकालभावी तस्माद्विलक्षण एवोपस्रभ्यते इति विकारापत्तिरिव स्फोटस्य इति वैकृत उच्यते ।
- I take the explanation of these terms from IYER, op. cit., pp. 53-54.

unmanifested.26 However, the secondary sound is regarded as the cause of the continuity of the perception of the already manifested sphota. The perception of the sphota does not disappear with the primary sound at once. It continues to be perceived again and again, uninterruptedly for a longer duration of time. It lasts for a short time in a quick speech, a little longer in the medium speech and still longer in a slow speech. But this continued perception of the sphota cannot be explained unless we assume that the manifesting sounds also continue in the succeeding moments. These sounds which become the cause of difference in the speed of utterance are called secondary sounds. However, the difference in duration associated with the secondary sounds is not even falsely attributed to the sphota, because the secondary sound is produced after the manifestation of the sphota. Although the secondary sounds do not manifest the sphota, these (sounds) become the cause of continued perception of the sphota already manifested by the primary sounds. In short, the distinction between prākṛtadhvani and vaikṛtadhvani, drawn by Harivṛṣabha, may be shown as follows:

The primary sound is produced by the conjuction and disjunction of the organs with the points of articulation, and it causes the perception of the sphota and of the secondary sound. The sphota is wrongly perceived as identical with the primary sound. Accordingly, the properties of primary sound such as length, pitch etc. are wrongly felt to be associated with the manifested sphota which, in reality, is free from such a distinction. The secondary sounds which originate from the primary sounds are produced after the manifestation

<sup>26.</sup> Ibid., pp. 52-53: तत्र प्राकृतो नाम येन विना स्फोटरूपमनभिव्यक्तं न परिच्छिद्यते वैकृतस्तु येनाभिव्यक्तं स्फोटरूप पुनः पुनरविच्छेदेन प्रचित्तर कालम्पलभ्यते ।

of sphota. They cause continuity of the perception of the sphota through the provision of continued reverberation<sup>27</sup> of primary sounds. The secondary sounds, while causing the continuity in the perception of the sphota, are associated with the differences in speeds—slow, medium and rapid—of utterance. The difference in the speed of utterance means greater or smaller number of repeated cognitions of the sphota. The length of the time of continued cognition of sphota solely depends on the speed of utterance with which secondary sound is associated.<sup>28</sup> The difference in the mode of utterance of the secondary sound does not affect in any way the nature of the sphota which is already manifested by the primary sound. In other words, the secondary sounds are not considered as identical with the already manifested sphota, and, accordingly, the properties of the secondary sounds are not superimposed on the sphota.<sup>29</sup>

It emerges from this discussion that the listener's ability to receive auditory impression of *sphoṭa* is unaffected by changes in the frequency of the speaker's voice. Speech remains

<sup>27.</sup> Helārāja (on verse 64, Kālasamuddeśa, TSS., CXVI) interprets the term Vailertadhvani to mean the secondary sounds, which are echos or reverberations of the primary sounds of the first moments. He reads: प्राकृतध्वनिभिरभिव्यक्ते शब्दतत्त्वेऽनुरण-नरूपा ये पश्च।त्कालभाविनो ध्वनयः संतानेन वर्तन्ते श्रोत्रान्तराल इव स्थिताः शीघ्रसंतानावर्तनात् ते श्रोत्रदेशमनुप्राप्ताः शब्दप्रचयग्रहणहेतुतां श्रोत्रगुणा-तप्रतिपद्यमानाः द्रुतादिभेदवृत्ति रचयन्ति । (Quoted by IYER, op. cit., p. 58.)

<sup>28.</sup> See note 26.

<sup>29.</sup> Vṛtti on VP. 1.77 (quoted by IYER) op. cit., p. 53: तस्मादु-पलक्षितव्यित्वरेकेण वैकृतेन ध्वनिना संसृज्यमानोऽपि स्फोटात्मा ताद्रूप्यस्यान-ध्यारोपात्शास्त्रे ह्नस्वादिवत्कालभेदव्यवहारं नावतरित ।

intelligible to a listener even if it is transmitted at twice the rate of normal talking. Similarly, even if it is slowed down, it does not make any significant change from the point of the listener's ability to discriminate words (sphota). The variability involved in the perception of speech-sound uttered at a rapid or slow rate does not affect in any way the quality of the primary articulate sound.

However, in the case of length we observe some significant contrast in the articulatory position of the organs. In Sanskrit, as in many languages, two speech-units may be distinctively different, because a certain articulatory position is held longer in one of the speech-units than in the other. Since the time-distinction is associated with the articulatory positions of sound producing organs, length is recognised as a part of the phonetic system of language. But speed involves the manner of moving the vocal organs from one position to another at a faster rate. Accordingly, it is not considered to be a part of the phonetic system of language. In other words, difference in speed is regarded as non-distinctive pattern of speech, and it is held as a secondary sound. But length of time is associated with the position of the articulatory organs in as much as it is regarded as associated with a primary sound.

Bhartrhari refers to the distinction between the primary sound and the secondary sound in his commentary<sup>31</sup> on the Mahābhāṣya

<sup>30.</sup> Ibid., p. 53. ध्वनिना संसृष्टं स्फोटस्य स्वरूपम् उपलभ्यते यस्मात्तस्मात् ध्वने: स्थितिकालः स्फोटोपलब्धिरूपः परिवर्तते । (See also Helārāja, quoted by IYER, op. cit., p. 58): ततक्च ते (वैकृतध्वनयः) शब्द-तत्त्वाद् बहिर्भूतां न भिन्दन्ति ।

<sup>31.</sup> Mahābhāṣyadīpiḥā, ABORI, Vol. XLIV, p. 49: एवमर्यमेष शब्दानां नित्यत्वमभ्युपगम्यते । तस्य नित्यस्य पुनर्येऽभिव्यञ्जकास्ते च

on the first Sivasūtra. He says that the sound produced by the contact of articulatory organs is designated as primary sound. And that which is produced by such a sound (by holding the same articulatory position<sup>32</sup>) is also to be known as the primary sound. The primary sound, which continues also in succeeding movements, is responsible for the distinction in vowels. When the succeeding primary sound is produced, the activity of the articulatory organs is not considered to have ceased. These primary sounds manifest the sphota, and these are perceived as identical with it. The sound which comes from another sound (after the cessation of activity of the articulatory organs) is called secondary sound, which arises from the previous sounds. It does not affect the quality of vowels (it only causes difference in speed). The distinction between the primary and secondary sounds as brought out by Bhartrhari remains essentially the same as that pointed in Harivrsabha's commentary on the Vākyapadīya, because, in both the texts, the primary sounds are regarded as distinctive, whereas secondary sounds are not. Nevertheless, Bhartrhari's passage seems somewhat obscure and does not clearly bring out the distinction between the second kind of primary sounds and secondary sounds.

केचित्प्राकृताः केचिद्वैकृताः । यश्च करणसंनिपातादुत्पद्यते यश्च तस्मात् तौ प्राकृतौ । ताभ्यां विशेषोपलब्धिः । यस्तु ध्वनितो ध्वनिरुत्पद्यते स वैकृतः । ततो विशेषाभावात् । केवलं तु स एवम् उपलब्धो वैकृत इति एष विशेषः। यदि शब्दान्तराभावात् भिन्नकालत्वं द्रुतमध्यमविलम्बितास्वपि भिन्न-कालत्वम् । अथ दीर्वप्लुतेषु पुनः पुनः करणाभिघातः तद् द्रुतादिषु एवं (अ?)सति कालस्यास्याद्वाह्यत्वे (?) दूरुपपादं करणाभिषातस्या-नुपरमादिति ।

The portion appearing in the brackets is supplied by me to 32. make the sense of the passage clear.



Bhartrhari, in his commentary on the Mahābhāṣya under the Śivasūtras 3-4, also mentions the two alternative views regarding the nature of primary and secondary sounds. According to the first view, the primary sound reveals the nature of sphoṭa, while the secondary sound causes distinction not in a single phonemic unit taken by itself, but only in the whole unit combined out of two or more units. The second view maintains that, according to some, sphoṭa is produced by the contacts and separations of the articulatory organs, while (the secondary sound is) a kind of reverberation which arises from the (primary) sounds.

Bhartrhari in his Vākyapadīya records the three different views<sup>34</sup> regarding the nature of the relation between *dhvani* and sphoṭa. He says that some are of the opinion that *dhvani* is perceived as identical with sphoṭa. The commentators explain

34. VP. 1.81:

स्फोटरूपाविभागेन ध्वनेर्ग्रहणमिष्यते । कैश्चिद् ध्वनिरसंवेद्यः स्वतन्त्रोऽन्यैः प्रकल्पितः ।।

Puṇyarāja under VP. 1.81: यथा जपाकुसुमरूपानुष्वतमेव स्फटिका-दीनां ग्रहणं तथा ध्वनिरूपानुष्वत एव स्फोटस्तदविभागेनोपलभ्यते इति केषांचिन्मतम्। It will be interesting to note here that K. A. S. IYER points out in his introduction (p. ix) to the translation of the Vākyapadīya (Deccan College, 1966) that the commentary on Kāṇda 1, published at Benares, is not a commentary of Puṇyarāja.

<sup>33.</sup> Mahābhāṣyadīpihā, op. cit., p. 76: अध्यनिकः स्फोट इत्युक्तं भवति । ननु च ध्वनिम् अन्तरेण स्कोटस्योपलिधरेव नास्ति । एवं तिह य एवासौ आद्यो ध्वनिः रूपमात्रस्य प्रतिपादकस्तावानेव आश्रीयते । यस्रवसौ विशेषस्य प्रतिपादकः यः समुदायस्थो यः स्वतन्त्र इति नासावाश्रीयते । ... अथवा कार्यवद् बुद्धि कृत्वा इदमुच्यते । तत्र कार्यपक्षे स्फोट एव संयोगा-दिभागात्संयोगविभागाभ्यामुत्पद्यते । यत्त्वनुरणनं तत् शब्दत एव ।

this by means of the analogy of the japā-flower. The redness in the crystal appears to be real, when it is placed next to the japā-flower. The same is true with regard to the sphota which is not distinctly perceived from the dhvani. Thus the length and other peculiarities of sound are wrongly attributed to sphota.

His second view regarding the nature of relation between the dhvani and sphota maintains that the sounds, although they are not cognisable by themselves, cause the manifestation of the sphota. The commentators explain this by the analogy of senses. The senses<sup>35</sup>, without themselves being perceived, cause the perception of the objects. In the same way the sphota is perceived through the sound which is itself imperceptible.

His third view on the relation between dhvani and sphota points out that the perception of sphota is not identical with the perception of sound. Sometimes we perceive the dhvanis without perceiving the sphota, so just as we perceive the light without seeing the flame.

Bhartrhari also records three different views on the nature of sphota. He says that, according to some, the term sphota stands for the initial articulated sounds produced by the various degrees of contacts of the articulatory organs with the points of articulation. The sound belonging to the first moment, according to this view, is the sphota, while the sound resulting from the sphota and belonging to the succeeding moments, are called śabdajāḥ śabdāḥ (sounds produced by sounds). The succeeding sounds (dhvanayah) are regarded as a chain of repetition of

Vrtti on VP. 1.81 (quoted by IYER, op. cit., p. 59): केवांचित 35. यथेन्द्रियाणीन्द्रियगुणाइच असंवेद्यस्वरूपा एव विषयोपलब्धिहेतवः तथाय ध्वनिरगृह्यमाण्डपः शब्दग्रहे निमित्तं भवति ।

Ibid., p. 59: दृष्टा केवलस्य ध्वनेः स्फोटरूपानवधारणे दूरादुपलब्धिः।

the first sound (sphota)<sup>87</sup>. These sounds, moving like waves, become weaker and weaker as they spread farther and farther from the sphota word.

In another view suggested by Bhartrhari, 38 both the dhvani and the sphota are said to be produced simultaneously. This is explained by the analogy of the flame and the light. The flame and the light are produced at the same moment. However, from a distance we see the light without seeing the flame. In the same manner, from a distance we may hear the sound and not the sphota. According to this theory, there is no interval between the perception of the sphota and that of dhvani. But these two aspects of a word are kept apart from each other, just as the initial sound of a bell and its reverberations are regarded as quite distinct from each other. These two views mentioned above suggest that the sphota is regarded as a transitory sound rather than the eternal entity.

In accordance with the third view<sup>39</sup>, the *sphota* represents the universal nature of individual *dhvanis*. It is the individual that helps the manifestation of the universal. The varied individual sounds are called *dhvanis*, and the class-nature of these sounds is considered to be the *sphota*.

अल्पे महित वा शब्दे स्फोटकालो न भिद्यते। परस्तु शब्दसंतानः प्रचयाप्रचयात्मकः।। दूरात्प्रभेव दीपस्य ध्वनिमात्रं तु लक्ष्यते। घण्टादीनां च शब्देषु व्यक्तो भेदः स दृश्यते।। See also note 34.

39. VP. 1.93: अनेकव्यक्त्यिभव्यङ्गचा जातिः स्फोट इति स्मृता । कैरिचद् व्यक्तय एवास्या ध्वनिस्वेन प्रकल्पिताः ।।

<sup>37.</sup> K. A. S. IYER, "Who are anityasphotavadins", POC, 1935, pp. 258 ff.

<sup>38.</sup> VP. 1.103 and 104:

Bhartihari has used the term sphota only nine times in the Vākyapadīya and that too occurs in the first Kānda of it. Like Patañjali, Bhartihari has also invariably used the term sphota in its relation with the dhvani. Without referring to the dhvanis, he has nowhere used the term sphota. Bhartihari has nowhere clearly stated in his Vākyapadīya that 'sphota is over and above the sounds, it is indivisible and without any inner sequence, and it is a meaning-bearing unit of the language.' It is now necessary to translate<sup>40</sup> the nine verses of the Vākyapadīya, where the term sphota occurs, in order that the moot question whether sphota is or is not a meaning-bearing unit may be examined.

VP.1.4941

Just as a reflection (of the object) appearing elsewhere (as in waters) assumes its (water's) properties, owing (to its association) with the movement of it (water), similar is the relation between sphota and nāda (sound).

Notes<sup>42</sup>: The reflection of the moon in the waters appears to be associated with the properties of water such as agitation, etc. However, in reality, reflection by itself is free from (or without) such properties. Similarly the sphota appears to have varied properties of sounds which differ in length and various modes of utterances.



<sup>40.</sup> I have made use of Prof. K. A. S. IYER's and Miss M. BIARDEAU's translations of the Vākyapadīya, Kāṇḍa-I. (Deccan College, 1966 and Paris, 1964, respectively) wherever necessary.

<sup>41.</sup> प्रतिबिम्बं यथान्यत्र स्थितं तोयित्रियावशात् । तत्प्रवृत्तिमिवान्वेति स धर्मः स्फोटनादयोः ।।

<sup>42.</sup> Notes are based on the commentaries of Punyarāja and Harivṛṣabha (quoted by IYER in his article, ABORI, op. cit.).

## VP.1.7543

The difference in duration (which gets introduced in the auditory perception) of the *sphota* which does not involve the temporal distinction, but which appears to assume the duration of (succeeding) sounds— is explained by the difference in conditions which delimit the (continued) perception (of the *sphota* through the secondary sounds).

Notes: The difference in various modes of pronunciation as rapid, medium and slow belongs in reality to the secondary sounds which are responsible for the continued cognition of the sphota. Nevertheless, they are felt to be associated with the sphota, although it is without time-distinction, because the act of repeated perception of the sphota is wrongly conceived as mixed up with the differences in the modes of pronunciation of the secondary sounds.

#### VP.1.77\*\*

The secondary sounds, after the manifestation of a word, give rise to the difference in the speed of utterance, but the sphota in its essence is not rendered distinctive by them.

Notes: The primary sound causes the manifestation of the sphota. Accordingly, the properties of the primary sounds are falsely attributed to the manifested sphota. Therefore the sphota of the articulated sound a ap-

- 43. स्फोटस्याभिन्नकालस्य ध्वनिकालानुपातिनः । 
  ग्रहणोपाधिभेदेन वृत्तिभेदं प्रचक्षते ।।
- 44. शब्दस्योर्ध्वमिश्रव्यक्तेर्वृत्तिभेदं तु वैकृताः । ध्वनयः समुपोहन्ते स्फोटात्मा तैनं भिषाते ॥

pears to be different from that of  $\bar{a}$ . After the manifestation of sphota, the sounds which also continue in the succeeding moments are called secondary sounds. These keep up the continuity in the perception of the already manifested sphota. The sphota continues to be perceived as long as the secondary sound lasts. The difference in rapid or slow mode of pronunciation of the secondary sound does not in any way affect the nature of the sphota which is already manifested by the primary sounds. The secondary sounds are not considered identical with the sphota. Accordingly, variabilities in the secondary sound do not make any significant change in the perception of the sphota. Therefore the properties of the secondary sounds are not attributed to the sphota. In other words, difference in speed is regarded as the non-distinctive pattern of speech, and thus, it is a secondary feature.

# VP.1.8145

According to some, the sound is perceived as identical with the *sphoṭa*. Some hold that the sound is not perceptible at all, while others suppose that (sound is perceived) as an independent entity.

Notes: There are three different views on the manifestation of the sphota word. The sound which is closely bound up with the sphota is not perceived separately, like the colour which is not separately perceived from the object. But for others, the sound, without getting itself perceived, causes the perception of the sphota, as

45. स्फोटरूपाविभागेन ध्वनेर्ग्रहणिमण्यते । कैश्चिद् ध्वनिरसंवेद्यः स्वतन्त्रोऽन्यैः प्रकल्पितः ।।

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the sense-organs and their qualities which, being themselves unperceived, cause the perception of objects. While, according to some others, sound is also perceived without giving rise to the apprehension of the form of sphota. In other words, the perception of sound is not regarded as identical with the perception of the sphota.

## VP.1.9346

Some believe that the sphota (stands for) the universal (concept) which is manifestable by many (i.e. varied) individual (sounds), and corresponding individual (instances) are what one imagines to be sounds (dhvanis).

Notes:— The varied individual sounds are called *dhvanis*, whereas the universal nature of these varied individual sounds is considered to be the *sphota*.

#### VP.1.9747

Just as there is a fixed eternal relation between a thing which enables one to perceive (i.e. sense-organs) and the thing to be perceived (i.e. objects), similar is the relation of manifester and manifested between the sounds and the sphota.

Notes: The colour inherent in the sense of vision, being itself an imperceptible entity, is regarded as the cause of the manifestation of external colour of objects. In the same manner, the sounds which are themselves

<sup>46.</sup> अनेकव्यक्त्यभिव्यङ्गचा जातिः स्फोट इति स्मृता । कैरिचद् व्यक्तय एवास्या ध्वनित्वेन प्रकरिपताः ।।

<sup>47.</sup> ग्रहणग्राह्मयोः सिद्धा नियता योग्यता यथा। व्यङ्ग्यव्यञ्जकभावेन तथैव स्फोटनादयोः ।।

imperceptible entities, become the causes of the manifestation of external objects. The opponent's idea was that the manifesting sounds exist in the vocal organs, whereas the sphota word exists in ether  $(\bar{a}k\bar{a}\hat{s}a)$ . The reply given to this is that there is the fixed eternal relation between the manifester and manifested. There can be a causal relation between the two things belonging to the different regions, and the effect may bear a different quality not found in its cause.

#### $VP.1.102^{48}$

Others say that whatever is produced by the conjunction and disjunction with the points of articulation is the sphota; while the sounds originated from the (initial) sounds are the dhvanis.

Notes: According to the view mentioned in this verse, sphota is the first sound and it is transitory. It results from the conjunction and disjunction of the organs with the points of articulation. On the other hand, the sounds which originate from the first sound and spread in all directions, carrying reflection of the first sound, are the dhvanis.

#### VP.1.10349

Whether the sound (appears) small or large (in duration), it does not make (any) difference in the duration of the *sphoṭa*. But the series of sounds (which follows it) is subject to increase or decrease (in duration).

- 48. यः संयोगिवभागाभ्यां करणैरुपजन्यते । स स्फोटः शब्दजाः शब्दा ध्वनयोऽन्यैरुदाहृताः ।
- 49. अल्पे महति वा शब्दे स्फोटकालो न भिग्नते । परस्तु शब्दसंतानः प्रचयाप्रचयात्मकः ॥

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Notes:

The increase and the decrease in duration of sounds are not regarded as the properties that reside in the original sound. However, the sound produced by striking the stick against the drum causes uninterrupted succession of sounds. This succession of sounds is subject to the increase or decrease in duration.

 $VP.1.106^{50}$ 

Even after the organs have ceased to vibrate, other sounds, also originate from the *sphoṭa*, like the series of flames (which streams forth) from other flames.

Notes:

When the vibrations follow one another without interruption, the sound produced by the contact of organs with the points of articulation does not disappear without producing a series of sounds. Even if the activity of sound-producing organs has ceased, the primary sound gives rise to the secondary sound. Since the articulatory position no longer exists, the variability produced by the secondary features of sounds in the speed of utterance does not affect in any way quality of the articulatory sounds and that of the sphota manifested thereby. The origination of the secondary sounds has the same nature of continuity as that of flames proceeding from burning fuel. Just as the series of flames causes the manifestation of object, so the continuity of secondary sounds helps the manifestation of the sphota for a longer duration of time. Difference in speed is regarded as the non-distinctive pattern of speech and thus, it is a secondary feature.

50. अनवस्थितकम्पेऽपि करणे ध्वनयोऽपि ते । स्फोटादेवोपजायन्ते क्वाला ज्वालान्तरादिव ।।

It may be seen from the foregoing translation that Bhartrhari's statements on the nature of sphota do not support the view that 'the real word is sphota, it is indivisible and without any inner sequence, and it is meaning-conveyer unit'. What we get from Bhartrhari's statement is that the sphota is manifested by the sounds produced by the articulatory organs. That the sphota is indivisible and it is a meaning-conveyer word, is nowhere stated clearly by Bhartrhari.

He has used the words avibhaga and abhinnahala<sup>51</sup> while describing the relation between the dhvani and sphota. The phrases do not intend to maintain the indivisibility of the sphota as understood by the later grammarians. This will be clear from the context in which these words are used by him. The context suggests that the manifested sphota is free from the temporal distinction even if the manifesting sounds are endowed with it. The temporal distinctions pertaining to primary and secondary sounds are wrongly ascribed to the nature of sphota. The later grammarians, probably on the basis of these statements, lay emphasis on the indivisible nature of the sphota. They also quote another statement of Bhartrhari to support their theories of akhandapadasphota: 'indivisible word as a meaning-bearing unit' and akhandavākyasphota: 'indivisible sentence as a meaning-bearing unit'. Bhartrhari says in his Vākyapadīya:52 'There are no phonemes in a word and there are no words in a sentence'. Viewed from the theory of language-communication, Bhartrhari speaks of indivisibility of speech-unit. According to him, the meaningful speech-unit cannot be correlated to the plurality of

<sup>51.</sup> *VP*. 1.75, 1.81:

<sup>52.</sup> VP. 1. 73: पदे न वर्णा विद्यन्ते वर्णेष्ववयवा न च । वाक्यात्पदानामत्यन्तं प्रविवेको न कञ्चन ।।

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phonemes. To put it differently, Bhartrhari<sup>53</sup> maintains that meaning-bearing units, viz. pada or vākya, cannot be divided into smaller significant units. Like Patañjali, Bhartrhari also holds the view that the meaning is neither cognised through the phonemes /k/, /s/ and /y/ in /ku:pə/, /su:pə/ and /yu:pə/, nor is it conveyed by the identical segment ūpa. Therefore, like Patañjali, Bhartrhari too holds the opinion that the word as a whole unconnected with the isolated phonemes conveys meaning. However, the term indivisibility (akhandatva) employed by later grammarians assumes a different significance. What follows in the next paragraphs represents the later grammarians' view on the nature of sphota.

The later grammarians<sup>54</sup> contend that each phoneme cannot convey any meaning, because in that case we might comprehend some meaning from individual phonemes constituting a word. Since a phoneme, taken as a single unit, has no meaning, a collection of phonemes (that is, taken as a whole) also has no

- 53. VP. 2.169: न कूपसूपयूपानामन्वयोऽर्थस्य दृश्यते । अतोऽर्थान्तरवाचित्वं संघातस्यैव गम्यते ।।
- 54. Pradīpa on the introductory passage of Mbh., (Haryana-Sahitya Samsthana, edn., p. 7): वैयाकरणा वर्णव्यतिरिक्तस्य पदस्य वाक्यस्य वा वाचकत्विमच्छन्ति वर्णानां प्रत्येकं वाचकत्वे द्वितीयादिवर्णो-च्चारणानर्थक्यप्रसङ्गात् । आनर्थक्ये तु प्रत्येकमृत्पत्तिपक्षे यौगपद्येन उत्पत्त्य-भावात्, अभिव्यक्तिपक्षे तु क्रमेणैवाभिव्यक्त्या समुदायाभावादेकस्मृत्यु-पारूढानां वाचकत्वे 'सरो' 'रस' इत्यादावर्थप्रतिपत्त्यविशेषप्रसङ्गात्त-द्वचितिरिक्तः स्कोटो नादाभिव्यङ्गचो वाचको विस्तरेण वाक्यपदीये व्यवस्थापितः ।।

It will be interesting to note that Kaiyaṭa's commentary which derives its authority from Bhartṛhari's pronouncements, considerably differs here from the Mahābhāṣyadīpikā of Bhartṛhari.

meaning. Furthermore, it is impossible to maintain a collection of phonemes, since these are transient. In the word gauh, when au is uttered, g disappears. It is impossible to maintain a combination of what is non-existent with what is existent. It is of no use to say that the collective remembrance of all phonemes in a single memory-impression conveys meaning, because in that case we will not be able to distinguish between the words like nadī, dīna, rāja and jarā which are constituted by the same phonemes. Therefore, the grammarians assume that the sphota is indivisible into phonemes and their sequences. It is only manifested by the articulatory sound and is considered as a meaning-bearing unit.

It will be interesting to note here that the later grammarians' idea of indivisibility seems to claim that the semantic units cannot be divided into smaller distinctive units i.e. phonemes. They say, the sphota: 'meaningful unit' is over and above the phonemes and is without any inner sequence. However, Bhartrhari's idea of indivisibility of pada and vakya is something else. He means to say that the significative level of language cannot be divided into smallest units i.e. phonemes, because phonemes have no correlation with meaning which is denoted by samghāta: 'whole'. He does not maintain that pada cannot be structurally divided into smaller differentiating units. Bhartrhari's explanation suggested here is based on my own understanding and interpretation of the Vāhyapadīya, but of course, I may have misunderstood him.

It seems to me that Bhartrhari does not favour the view that the sphota is the indivisible and meaningful unit. He states three different views on the nature of sphota. He points out that (i) according to some, sphota55 is cognised as identical with sound; (ii) according to another view, sphota stands for articulate

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**<sup>55</sup>**. VP., 1.81.

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sounds produced by the contacts of the different vocal organs with the points of articulation; and cording to the third view, sphota represents the universal<sup>56</sup> sound, whereas the term dhvani refers to the individual sound. Thus, according to Bhartrhari, if the sphota is a sound or a type sound or for that matter, even a phoneme manifested by sound, it cannot be obviously the denoter. It is inconsistent to say that the sphota is the sound auditorily perceived in the timeseries-pattern and at the same time indivisible and meaningful. The later grammarians<sup>57</sup> maintain that when the speaker utters a sound, not only are phonemes heard and cognised by listeners, but also the indivisible entity in the form of the sphota word. Thus, according to them, in the cognition of the word gauh the phonemes appear as a part of the word while the cognition of the padasphota appears to be partless. This appears to be paradoxical. Nowhere has Bhartrhari mentioned the view that three sounds g, au and h in the word gauh reveal the three phonemes to the listener and also the indivisible sphota entity gauh. He58 says that each sound reveals the phonemes

नादैराहितबीजायामन्त्येन ध्वनिना सह । आवृत्तिपरिपाकायां बुद्धौ शब्दोऽवधार्यते ।।

The later commentators, however, say śabda is perceived as a single unit. See Mahābhāṣyapradīpoddyota, op. cit., p. 11: इदमेकं पदमेकं वाक्यमिति प्रत्ययः स्फोटसत्त्वे तदेकत्वे च प्रमाणम् ।

<sup>56.</sup> Ibid., 1.93:

<sup>57.</sup> Vaiyākaraṇabhūṣaṇasāra, Bombay Sanskrit and Prakrit Series, pp. 589-90: तथा च वाचकत्वान्यथानुपपत्त्या तदेवेदं वाक्यं सोऽय गकार इति प्रतीत्या च स्फोटोऽखण्डः सिध्यति । एतेन गौरित्यादौ गकारौकारादिविसर्गव्यतिरेकेण स्फोटाननुभवाच्छ्रूयमाणवर्णानामेव वाच-कत्वमस्तु इत्यपास्तम् ।

<sup>58.</sup> VP. 1.84:

(sphota) and when the last sound accompanied by the impressions of the previous sounds is perceived, the word is grasped in the mind.

Thus, according to Bhartrhari, the listener may perceive each phoneme, but he cannot perceive the word as a whole. Only the perception of the phonemes gives rise to the mental image of a single whole. The later grammarians, on the other hand, contend that they perceive the word as a single unit. This realisation is, according to them, based on the common experience of the unitariness of a word or a sentence in the form 'this is one word or one sentence'. However, according to Bhartrhari, the unitariness of a word or a sentence is purely conceptual.

I get the impression that—unless, of course, I am mistaken—all this confusion has arisen in the mind of the later grammarians, because they identify Patañjali's and Bhartrhari's conception of śabda with the concept of sphoṭa. All the statements of Patañjali and Bhartrhari regarding the nature of śabda are interpreted in the light of the sphoṭa doctrine. I feel that Bhartrhari's conception of sphoṭa can only be interpreted on a careful analysis of his statements with regard to the sphoṭa. The later interpretation of sphoṭa departs from the line of thought associated with the vākyapadīya. To support my statement, I present two or three instances to show how Bhartrhari's statements on śabda are utilised by the later grammarians to establish their new interpretation of sphoṭa.

(i) Bhartrhari while discussing the nature of śabda makes the statement about the nature of word. 59 He says that gram-

59. VP. 1.44: द्वाबुपादानशब्देषु शब्दी शब्दिवदो विदुः।
एको निमित्तं शब्दानामपरोऽर्थे प्रयुज्यते।।
cf. also R. JAKOBSON, Fundamentals of Language, Netherlands, 1956, pp. 2-4, and 24.



Search in this text

marians recognise two kinds of words. Of these two, one is said to be the cause of articulate sound, while the other is associated with meaning. Hence, Bhartrhari says that the linguistic utterance can be analysed into two different levels: sound or differentiating level and semantic or significative level. On the basis of this statement, the later grammarians contend that, out of these two levels, the significative level of linguistic utterance is regarded as sphota. The linguistic utterance which is directly related to the meaning is the sphota. Accordingly, it cannot be divided into smaller constituents, because in conformance with the established opinion, sphota is the single partless utterance. In the context of meaningful speech-unit, Bhartrhari has never used the term sphota. He has strictly reserved it for indicating the distinctive function of language possibly from the point of auditory perception of sounds that reached the listener's ears<sup>60</sup>. Bhartrhari's statements clearly point out that any sound, revealed to the listener's ear through the process of articulation, is the sphota, which need not necessarily involve the notion of meaning. In other words, he has used the term sphota in the context of distinctive function of language. On the other hand, the later grammarians use the term sphota with reference to the significative aspect of language. They have made use of Bhartrhari's verse to establish their favourite doctrine of meaning-bearing nature<sup>61</sup> of sphota, assuming that the significative aspect śabda is the sphota. It seems to me that their assumption does not have the support of Bhartrhari.

In the same manner, Bhartrhari has never said that sphota is self-revealing. His statement points out that just as a light,

<sup>60.</sup> VP., 1.81, 97.

<sup>61.</sup> Sphoṭavāda, op. cit., p. 9: तत्र स्फुटत्यर्थोऽस्मादिति स्फोटः, वाचक इति यावत् । एवं च वर्णस्फोटादिपदानां वर्णादयो वाचका इत्यर्थः ।

while revealing itself,62 reveals others as well, the śabda is likewise self-revealing, i.e. a word fully cognised reveals the object to be cognised. This self-revealing character of word, has been applied to the sphota by the later grammarians to propound the metaphysical concept of the śabdādvaita.

It is erroneous to hold that sphota reveals itself. Actually, Bhartrhari<sup>63</sup> has only said that sphota gets manifested through the articulate sound. On the other hand, one can say that śabda is a self-revealing entity, because śabda, as a single unit, cannot be revealed by sounds. The later grammarians64 have gone so far as to identify sphota with the vedantic Brahman. It is interesting to note that the doctrine of sphota developed by Bhartrhari becomes subsequently merged in the śabdādvaita

## 62. VP. 1.55: ग्राहचत्वं ग्राहकत्वं च द्वे शक्ती तेजसो यथा। तथैव सर्वशब्दानामेते पथगवस्थिते ।।

See also ibid., 1.83. Actually, according to Bhartrhari, the term grahyatva refers to the form of a word cognised through the sounds, while the term grahakatva stands for the meaningconveying nature of a word. The later grammarians, however, identify these concepts with the nature of svaparaprahaśakatva of the Brahman.

63. Ibid., 1.97:

> ग्रहणग्राह्ययोः सिद्धा योग्यता नियता यथा । व्यञ्जयव्यञ्जनभावेन तथैव स्फोटनादयोः ॥

Vaiyākaraņabhūṣaņasāra, op. cit., p. 606: 64.

> इत्थं निष्कृष्यमाणं यच्छब्दतत्त्वं निरञ्जनम्। ब्रह्मैवेत्यक्षरं प्राहुस्तस्मै पूर्णात्मने नमः ॥

ब्रह्मै वेत्यनेन ''अत्रायं पुरुषः स्वयंज्योतिः'', ''तमेव भान्तम् अनुभाति सर्वम्", "तस्य भासा सर्वमिदं विभाति" इति श्रुतिसिद्धं स्वपरप्रकाशकत्त्रं सूचयन्स्फूटत्यर्थो ऽस्मादिति स्कोट इति यौगिकं स्फोटशब्दाभिघेयत्वं सूचयति।

philosophy of Bhartrhari, as understood by the later grammarians.

(ii) In the first chapter of the Vākyapadīya, Bhartṛhari<sup>65</sup> deals with the metaphysical and semantic problems side by side. He states that the eternal word is the supreme reality; it is transcendental and devoid of all qualities. Śabdabrahman is the originator of śabdaprapañca as well as arthaprapañca. It is existence in its essence. From this śabdabrahman the whole universe evolves. It does not change nor does it cease. Both the denoter (vācaka) and the denoted (vācya, i.e. supreme existence) should not be looked upon as two different realities<sup>66</sup>. But these are the two aspects of the one ultimate reality and, therefore, it is identical with them in essence. The whole phenomenon of material existence is only an appearance (vivarta) of the śabdabrahman.

It may be that Bhartihari was a staunch believer in the Advaitic concept of the Brahman. Accordingly, he tried to link grammar with Advaita. In fact, later grammarians have taken the trouble to identify Bhartihari's sphota doctrine with the śabdādvaita concept. How far sphota is one with śabdabrahman is a matter which has to be continuously reexamined in the light

65. VP., 1.1 and 2:

अनादिनिधनं ब्रह्म शब्दतत्त्वं यदक्षरम् । विवर्तते ऽर्थभावेन प्रक्रिया जगतो यतः ॥ एकमेव यदाम्नातं भिन्नं शक्तिव्यपाश्रयात् । अपृथक्त्वे ऽपि शक्तिभ्यः पृथक्त्वेनेव वर्तते ॥ See also ibid., 1.13, 1.23-30 etc.

66. Ibid., 2.31: अर्थभागैस्तथा तेषामान्तरोऽर्थः प्रकाश्यते । एकस्यैवात्मनो भेदौ शब्दार्थावपृथक् स्थितौ ॥



not only of the so-called metaphysical implications involved in the vakyapadīya, but also of the linguistic principles enunciated by Bhartrhari. The metaphysical aspect of word-doctrine has been wrongly attributed to the doctrine of sphota. The higher reality of the śabdabrahman is confused with the lower reality of sphota. The identity between śabda and the Brahman conceived by the later grammarians is based on the misunderstanding of the real nature of sphota. This ultimately leads to the misunderstanding of the modern Sanskritists that the sphota doctrine is 'mysterious'67. The importance of the sphota theory, from the point of linguistic interpretation, has been overlooked neglected by the later commentators. It will be interesting to note here that Bhattoji Dīkṣita in his Sabdakaustubha68 anticipates that the linguistic theory of sphota has no direct connection with the metaphysical doctrine of śabdabrahman. states that Bhartrhari, dealing with the nature of linguistic utterance, incidentally discusses the illusory creation of world. It is also interesting to note that Samkara<sup>69</sup> criticizes the doctrine of sphota and spares the doctrine of the śabdabrahman which generally agrees with his philosophical thinking. By identifying the doctrine of śabda with sphota the later grammarians have subjected the theory of sphota to a great deal of unnecessary mystification and, as a result, the importance of this theory from the point of linguistic interpretation has been side-tracked.

<sup>67.</sup> J. Brough, op. cit., pp. 41-42.

<sup>68. \$</sup>abdahaustubha, Chokhamba Sanskrit Series, p. 10:
वराटिकान्वेषणाय प्रवृत्तिक्वन्तार्माण लब्धवान् इति वासिष्ठरामायणोक्ताभाणकन्यायेन शब्दविचाराय प्रवृत्तः सन् प्रसङ्गादद्वैत औपनिषदे ब्रह्मण्यपि
व्युत्पाद्यतामित्यभिप्रायेण भगवान् भर्तृहर्रिविवर्तवादादिकम् अपि प्रसङ्गाद्
व्युद्पादयत् ।

<sup>69.</sup> Brahmasūtraśāmkarabhāṣya under 1.3.28.

The later grammarians classify the sphota into eight varieties<sup>70</sup>. The fundamental principle underlying this classification meaningfulness and indivisibility. At the first level, sphota basically divided into three categories: varnasphota, padasphota and vakyasphota. The varnasphota theory maintains that roots, stems and suffixes are the smallest meaning-vehicles in language. They cannot be further divided into smaller meaningful units. On the other hand, the theories on the padasphota and vakyasphota maintain that meaning is understood by a listener from a word or a sentence as a whole. The theories akhandapadasphota: 'word as an indivisible meaningful unit' and akhandavākyasphota: 'sentence as an indivisible meaningful unit' maintain that word or sentence as a unique entity conveys meaning. The theory of jatisphota maintains that the universal nature of speech-unit is denotative, whereas the vyaktisphota theory denies the plurality of indivisible speech-units.

The later grammarians claim that Bhartrhari himself has developed these theories. To prove this they quote the following statements of Bhartrhari:

- (1) "There are no phonemes in the word and no words in the sentences'. This<sup>71</sup> verse is quoted by the later grammarians to prove the indivisibility of the word-sphota. Similarly, to support their theories on the jatisphota and vyaktisphota they refer to the following verse:
- (ii) Some believe that sphota<sup>72</sup> (stands for) the universal (concept) which is manifestable by many (i.e. varied) individual

<sup>70.</sup> This has been fully explained in the section "on the classification of sphota".

<sup>71.</sup> See note 52.

<sup>72.</sup> See note 39.

(sounds), and corresponding individual (instances) are what one imagines to be sounds (dhvanis).

The first statement suggests that it is the sentence that communicates the meaning to the listener. Viewed from the theory of language communication, the primary unit of language is sentence and not the words or phonemes. Bhartrhari's theory of indivisibility of words and sentence denies that words and sentences are constructions of smaller constituents. The listener hears the sentence as undivided linguistic utterance and does not identify the sentence-elements like words, stems, suffixes, phonemes etc.

One should not ignore the important fact that, while describing the nature of vakya and pada in the second and third kanda of the Vahyapadīya, Bhartrhari has never used the term sphota. The later grammarians interpret the term sphota to mean indivisible meaning-bearing speech-unit, and find it convenient to quote Bhartrhari's statements which deal with the indivisibility of meaningful speech-unit, to support their views on the akhandatva and arthavattva of sphota. Bhartrhari's analysis of language 'breaks down' into two broad levels: (1) Sabda or morphemic level involving both simple and complex meaningful units. His final view is that the sentence is a meaningful unit which cannot be dissolved into ultimate constituents: morphemes and phonemes. (2) The second level is phonemic or distinctive. According to him, it has two different aspects: (i) sphota or auditorily grasped speech-unit which is heard but not necessarily understood, and (ii) sound which reveals the sphota. The analysis of later grammarians also 'breaks down' into two levels (1) sphota or significative level and (2) sound or distinctive level. The difference between the view of Bhartrhari and that of later grammarians lies in the fact that what is śabda level of Bhartrhari becomes the

sphota level of later grammarians. Bhartrhari's discussion on the nature of sphota is free from the significative aspect of language, and he tries to explain the nature of relationship between the sounds emitted by the vocal organs and the sounds received by the listener's ears. The later grammarians have shifted Bhartrhari's conception of the sphota from the feature level of language to the semantic level.

I furnish here another instance to indicate that the chief characteristics of sphota are not meaningfulness and indivisibility,a theory which won the recognition of later grammarians. In fact, the term varnasphota provides evidence for thelateness of such a recognition. This term originally represented the smallest distinctive unit heard by a listener. According to Patanjali and Bhartrhari, the term varnasphota need not necessarily involve the consideration of meaning. The later grammarians, however, are faced with the difficulty in interpreting the term varnasphota, because a phoneme as a single unit is neither invariably meaningful nor does it necessarily involve the question of divisibility. Therefore, they have assigned a new meaning to the term varnasphota<sup>73</sup> to represent the smallest meaningful units like stems, roots and suffixes, which may exactly fit in with their conception of sphota. This deliberate attempt to assign a new meaning to the term varnasphota only indicates that the term originally might not have been used in the sense of the significant unit.

Bhartrhari's statement with regard to jātisphoṭa<sup>74</sup> is also essentially confined to the relation between sphoṭa and dhvani. Accordance

<sup>73.</sup> Laghumañjūṣā, Kashi Sanskrit Series, 1963, pp. 453-54:तत्र प्रकृतिप्रत्ययनिपातानामेकवर्णानामर्थवत्त्वदर्शनात्—इत्यादिना प्रत्याहाराह्निके भाष्ये उनतः प्रकृतिप्रत्यययोर्वाचकत्विमत्येवंरूपो वर्णंस्फोटः शास्त्रप्रक्तियो-पयोग्येव न वास्तवः।

<sup>74.</sup> See note 46.

ing to him sphota represents a class of universal sounds, whereas dhvani represents a particular sound. Sphota is a class of sounds related to the individual instances of sounds through a relation of the manifester and the manifested. It seems to me, from Bhartrhari's statement, that the term sphota represents the constant distinctive phonetic features which are sorted and extracted from the gross spoken sound-matter. In the sphota perception non-distinctive redundant phonetic features are Another important thing, worth to be noted here, is that Bhartrhari considers sphota to be merely a class whose members are not themselves sphotas75. Bhartrhari mentions three different views regarding the relation between sphota and dhvani. The first view states that the sphota entity perceived by the listener is not different from the sound (dhvani) produced by the speaker. To put it differently, the concept of sphota cannot be divorced from the concept of sound. The second view states that the relation between sound and sphota is that of manifester and manifested. That is to say, the sphota is not sound but it represents "the minimum sum" of a sound-matter revealed to the listener's ears in which the non-distinctive personal element is eliminated. The third view propounded by Bhartrhari is the generic view. According to this view, sphota is opposed to dhvani as a class to individual. He makes another statement regarding the function of phonemes from the communicative aspect of language. According to this theory, varnāh: 'phonemes' are abstract fictional entities. Phoneme, in this case, is a fiction in the same way as a morpheme or a word is in a sentence. This fictionality of phonemes shows merely a functional aspect of language and it does not affect the distinctive analysis of it.

**<sup>75</sup>**. J. Brough, op. cit., p. 45.

I borrow this terminology from R. JAKOBSON, op. cit., p. 14. 76.

While mentioning the generic view, Bhartrhari points out that sphota is always a generic representation, while dhvani represents particular sounds. According to him, the term vyaktisphota does not exist. For him, vyaktis are always sounds and jāti is the sphota. This may serve as an additional proof to point out why sphota is not a meaningful sound. If the dhvanivyakti does not stand necessarily for a meaningful unit, how can jātisphota, which necessarily includes all the dhvanivyaktis in a general form, be a meaningful sound?

The later grammarians, 77 however, have extended this concept to the words and sentence. According to them, the word hari is a universal, it is a class comprising a host of varied individual utterances made by different people. This universality of word corresponds to the semantic universality, as, for instance, the word gauh denotes the class of cows into which all individuals are grouped. It appears to be strange, however, that this universality of word and sentence does not correspond to the generic nature of varnasphota, not only because a word cannot be divided into phonemes, but also because the word-sphota is not revealed by varnasphota but by the dhvanivyaktis. Nagesa makes the observation that the individual sounds always reveal the generic nature of sphota. The padajātisphota78 of later grammarians does not mean the class of spoken word-events, whose members are individual word-events, but a class whose individual members are sounds rather than individual word-events. Similarly, the padavyahtisphota does not mean individual word-events

<sup>77.</sup> Vaiyākaraṇabhūṣanasāra, p. 603: इदं हरिपदिमस्यन्गतप्रतीत्या हर्युपस्थितित्वाबच्छेदेन हरिपदज्ञानत्वेन हेतुत्वात्तदवच्छेदकतया च जाति- विशेषस्यावश्यकल्प्यत्वात् ।

<sup>78.</sup> Vaiyākaraṇabhūṣaṇasāra, op. cit., p. 605: कैंदिचद्वचक्तयो ध्वनय एव ध्विनवणंयोर्भेदाभावादित्यभ्यूपेयन्त इति शोषार्थः।

spoken with varied accents and tones. It, on the other hand, denies the plurality of word-events. The jātisphoṭa assumes the plurality of individual word-events while vyaktisphoṭa denies this. The latter view maintains that word is only one but it appears to be manifold owing to the variation in the manifesting sounds. The new grammarians (including Kauṇḍa Bhaṭṭa) hold the jātisphoṭa¹⁰ view while the modern school (Nāgeśa and his followers) support the view of vyaktisphoṭa.

Bhartrhari's concept of śabda is analogous to a certain extent to the modern concept of linguistic sign. A linguistic sign is considered a two-sided entity by modern linguists. According to Bhartrhari also, śabda is related to the phonetic structure on the one hand, and to the semantic fact on the other. Bhartrharis says that the grammarians recognise two kinds of words: soundword and semantic-word. The latter is not a material word but a psychical entity or mental equivalent of an articulated sound (buddhistha) s2. Bhartrhari's philosophy of śabda starts on the higher plane of linking a name with a thing signified. The concept of sphota emerges, on the other hand, in the context of how language utilizes the sound-matter. To explain the process of revelation of sound-matter to the listener, he examines the distinctive function of language. In this respect, the final view of Bhartrhari seems to be that dhvani represents the articulate sound

<sup>79.</sup> Sphotavāda, p. 99.

<sup>80.</sup> This has been already pointed out by K. K. RAJA, op. cit., p. 121. See also F. DE SAUSSURE, Course in general linguistics, pp. 65-66, London.

<sup>81.</sup> VP. 1.44.

<sup>82.</sup> This modern terminology, although it does not exactly correspond to Bhartrhari's words, is somewhat useful to interpret the Vākyapadīya, which is otherwise a "closed book" to the modern semantists. Also cf. R. JAKOBSON, op. cit., pp. 2-4.

associated with gross matter, whereas the term sphota represents a class sound sorted and extracted by the listener from the gross sound-matter. Sphota cannot be pronounced, so, to represent the notion of sphota, one may use the term phoneme or phonemic sequence<sup>83</sup>, much used by the linguists today, because both of them represent the abstract entity extracted from the gross sound-matter.

Like Paţañjali<sup>84</sup>, Bhartrhari also uses the term śabda in the Vākyapadīya to mean various things, such as: (i) the supreme reality, the Brahman<sup>85</sup>. (ii) From the stand-point of communication, śabda represents indivisible meaning-bearing unit<sup>86</sup>. At the beginning of the second book of the Vahyapadīya, Bhartrhari defines the sentence in the words eko'navayavah śabdah: 'a single undivided speech-unit.' He maintains that a sentence is indivisible<sup>87</sup> into constituents, because the process of communication is not concerned with the division of sentence into its constituents. Bhartrhari's theory of the indivisibility of the sentence maintains that the listener hears the sentence as an undivided linguistic unit, and does not identify the word-elements like stems and suffixes etc. while he understands the meaning of the speech-unit. In other words, the process of undestanding the sentence-meaning is indifferent to the constituents and their meanings. In this sense he says that sentence is over and above the phonemes. This should not be taken to mean that word and

आस्यातशब्दः संघातो जातिः संघातवर्तिनी । एकोऽनवयवः शब्दः ऋमो बद्धचन्संहतिः ।।

87. Ibid., 1.73.



<sup>83.</sup> Cf. J. Brough, op. cit., p. 40.

<sup>84.</sup> See footnotes 5-10.

<sup>85.</sup> VP. 1.1-2.

<sup>86.</sup> *Ibid.*, II.1:

sentence are over and above the phonemes at the articulatory and auditory levels of language. (iii) With reference to the perception of speech-unit also, the term śabda is used by Bhartrhariss. It is in this last aspect, that śabda may be sphota. When the speech-unit intends to represent the significative value attached to it, it is meaning-bearing speech-unit, but when it intends to represent the differentiating sound-values attached to it, it is the sphota. Since śabda is a two-sided entity, one side of it is the sphota while the other side is arthavatsvarūpa. In its former capacity the speech-unit might be auditorily grasped, but not necessarily understood semantically. The word sphota reflects the relationship between the words and their constituents at the level of distinctive function of language. The perception of sphota enables the listener to grasp the sound-pattern of language even without apprehension of the meaning. The term sphota stands for a single phoneme or sound-pattern, subjected to the listener's ear, which may or may not be meaning-bearing. It is in this sense that Bhartrhari, while describing the nature of dhvani, has used the term śabda89. Similarly, while commenting on Patanjali's definition of śabda, he has used the word sphota in the sense of signifying word's own phonetic shape. He clearly states there the two-fold function of word: significative and distinctive (self-revealing nature). Only in its latter aspect śabda is sphota.

<sup>88.</sup> 1.44, 1.54, 1.59 etc.

<sup>89.</sup> VP. 1.77, 103, 104 etc.

Mahābhāṣyadīpikā, ABORI, Vol. XLIII, p. 3: यो वाऽय-90. मुच्चार्यते कमवान् अवरः कश्चिदन्यः अक्रमः शब्दात्मा बद्धिस्थो विगाहते तस्मादर्थप्रतिपत्तिः । कृतः । यथैवार्थान्तरनिबन्धनो नार्थान्तरं प्रत्याययति एवं स्वरूपनिबन्धनो नोत्सहते प्रत्यायितुम् । अन्ये तु मन्यन्ते । यथा वर्णेषु वर्णंतुरीया भागा वर्णजाति व्यञ्जयन्ति । एवं वर्णा वाक्यान्तरेषु ये

Bhartrhari's analysis of language generally breaks down into two broad aspects: significative and distinctive. The prakrti, pratyaya, śabda, pada and vakya are used to represent the meaning-bearing aspect of language. His final view is that the division of sentence into words and that of words into stems and suffixes is a grammatical fiction, although a useful one, for the description of language. Sentence, as he says, is one single undivided speech-unit. The analysis at the word (pada) level is not totally denied by him. According to him, such an analysis would be useful for learning the language. However, the ultimate truth lies in the fact that the sentence is a single undivided speech-unit, and the word is an unreal abstraction. As regards the indivisibility (akhandatva) of the speech-unit, a doubt remains in my mind, as to whether Bhartrhari maintains that divisibility of speech-units into smaller significant grammatical fiction or that phonemes are also the unreal abstract entities of language. It appears to me, however, that when he says: 'there are no phonemes in the word', it means that phonemes have no connection with the meaning. That is to say, phonemes do not play any independent part in conveying the sense. However, the importance of phonemes as distinctive units is not denied by him. In fact, the indivisible meaning-bearing unit is grasped through the sounds emitted by a speaker and heard by a listener.

क्रमजन्मानः अयुगपत्कालास्ते तां पदस्थां वर्णजातिमभिव्यञ्जयन्ति । वृक्षशब्दो वृक्षत्वम् । जातेरथँस्य प्रतिपत्तिः । एतच्चार्थस्वरूपम् । स्फोटोऽयमेव शब्दात्मा नित्यः । ये तु क्रमजन्मानः अयुगपत्काला व्यक्तयो ध्वन्यात्मानस्ते इति । अन्ये मन्यन्ते द्विशक्तिः शब्द आत्मप्रकाशनेऽर्थप्रकाशने च समर्थः । यथा प्रदीपः आत्मानं प्रकाशयन् विध्यर्थान् प्रकाशयति । यस्त्वाध्यात्मिकः इन्द्रियाख्यः प्रकाशः स आत्मानमप्रकाशयन् बाह्यमर्थं प्रकाशयतीति ।

At the distinctive level of language, Bhartrhari first makes distinction between dhvani and sphota. Sphota is not merely sound-entity, but it is a class of which the varied individual instances are dhvanis. Sphota is not emitted sound, but it is auditorily perceived. Sphota is opposed to dhvani as a class to individuals. Sphota is not associated with the gross phonetic features of sound. The sound-producing movements reveal the sphota in such a way that the listener enables himself to extract only the relevant distinctive class-features of sound by way of eliminating its non-distinctive individual variations. Therefore, sphota is the revealed (vyangya), whereas dhvanis are the revealer (vyañjaka). To explain the distinction between dhvani and sphota clearly, Bhartrhari speaks of two aspects of prākṛtadhvani: 'primary sound' and vaikṛtadhvani: dhvani: 'secondary sound'. Prākṛtadhvani is a spoken sound produced by vocal organs which manifests the sphota. The features of primary sounds are attributed somewhat wrongly to the abstract entity sphota which is revealed through the primary sounds. To put it into modern terminology, which, of course, may not exactly correspond to Bhartrhari's way of understanding, the sound endowed with primary features can be recognised as a part of the phonemic system of language. The vaikṛtadhvanis represent the secondary features of language. For their production the articulatory position is not directly responsible, but they are produced by the primary sounds (śabdajāḥ śabdāḥ). Helarāja calls them 'reverberation of sounds'. The secondary features, like speed of utterance, do not affect in any way the quality of the primary sound and sphota, for they are produced from the primary sounds after the manifestation of sphota. Therefore, the secondary features are not even wrongly superimposed on the abstract sound-entity sphota. To put it into modern terminology,

the secondary features do not form part of the phonemic system of language.

From the foregoing discussion it will be clear that, according to Patañjali and Bhartrhari, the term sphota may refer to a single phoneme or a sound-pattern, which is revealed by dhvani. Sphota as the indivisible meaning-bearing eternal word is nowhere suggested in either Patañjali's Mahābhāṣya or Bhartrhari's Vākyapadīya. I do not see anything mysterious in the sphota doctrine. The great masters Patanjali and Bhartrhari, while making pronouncements on sphota, are not engaged in irrational speculations. However, the later grammarians regard the sphota as an indivisible meaningful eternal word from which the whole universe evolves. One may see that their way of describing sphota is almost parallel to that of describing the supreme reality. All the elaborate terminology—Brahman, sattā, avyakta, śakti, avidyā etc.—is employed by later grammarians to describe the nature of sphota. All this confusion arises on their part—as I have already explained in great detail—because they identify the metaphysical concept of śabda with the doctrine of sphota. Another possible reason for misunderstanding Bhartrhari's text is that he packs his arguments into small pithy verses which deal with metaphysical, linguistic and semantic doctrines side by side. This unusual mixture has resulted in diverse interpretations of his teaching. Accordingly, Bhartrhari's linguistic doctrine is quite forgotten in the wake of metaphysical and semantic philosophy of language.

To conclude our discussion on Bhartrhari, if one were allowed to cut across the terminological tangle, which has been involved in Bhartrhari's thought, one may differentiate the linguistic elements from the non-linguistic semantics on the one hand, and the metaphysics of the Vākyapadīya on the other. The later

commentators, in my opinion, might have failed to draw the distinction between the linguistic interpretation and the philosophical thought of Bhartrhari. Subsequently, the sphota doctrine has assumed a new interpretation at the hands of grammarians, logicians and literary critics.

## Sphota—the views of later grammarians:

What is the nimitta of śābdabodha (verbal knowledge)? How does the listener grasp the meaning of a sentence? How is the meaning of a sentence communicated? Does the division of a sentence into words and that of words into their grammatical components concern the listener? When the listener grasps the meaning of a sentence, does he account for the inter-relation of the words in the sentence and of the phonemes constituting the word? Is a sentence to be regarded as the single meaningbearing symbol? Or is it made up of different sound-units arranged in a particular order? These are the various questions which have puzzled the Indian philosophers since a long time and have been answered differently by Indian thinkers.

The grammarians (sphotavadins) hold that the sentencesphota conveys the meaning of a sentence which is a single meaning-bearing unit indivisible into smaller grammatical components and into phonemes. The sphota has no time-order even if we dissolve it into smaller units at the descriptive level of language. On the authority of Bhartrhari's Vākyapadīya (1.44), the later grammarians maintain the two-fold aspect of a word: 91 dhvani and sphota, viz. (i) the phonetic aspect of a word which forms the structure of expression and (ii) the semantic aspect of a word which is connected with meaning. In fact,

<sup>91.</sup> K. K. RAJA, op. cit., p. 117.